UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

		C.A. NO. 04-40247-FDS	FICE
MARY RIVARD,)		
Plaintiff	ý		100 MY 18 A 11: 59
v.)		
HOME DEPOT,)		
Defendant)		

AUTOMATIC REQUIRED DISCLOSURES OF PLAINTIFF, MARY RIVARD

Pursuant to Fed. R. Civ. P. 26 and Local Rule 26.2, plaintiff, MARY RIVARD,

hereby submits its automatic required disclosures.

A. Individuals Likely To Have Discoverable Information In Support Of Plaintiff's Claims And Defendant's Counterclaims And Third-Party Claims

<u>Name</u>	Address/Telephone	Subject Of Information
Mary Rivard	c/o John L. Roncone III, Roncone Law Offices, P.C., 142 Main Street, Leominster, MA 01453	Knowledge concerning: (i) circumstances surrounding the happening of the slip and fall accident on October 14, 2002 at the Home Depot premises, Store # 2676, 135 Commercial Road, Leominster, Massachusetts; (ii) Mary Rivard's resulting injuries, medical treatment, recovery and resulting damages, (iii) Mary Rivard's damages, including pain and suffering, monetary loss and future treatment resultant to the subject slip and fall; and (iv) description of the defect upon the premises which caused Mary Rivard's fall.

Robert Rivard	19 Simond Hill Road, Hubbardston, MA 01452	Knowledge concerning: (i) circumstances surrounding the happening of the slip and fall accident on October 14, 2002 at the Home Depot premises, Store # 2676, 135 Commercial Road, Leominster, Massachusetts; (ii) Mary Rivard's resulting injuries, medical treatment, recovery and resulting damages, (iii) Mary Rivard's damages, including pain and suffering, monetary loss and future treatment resultant to the subject slip and fall; and (iv) description of the defect upon the premises which caused Mary Rivard's fall.
Jason Carter	Store manager, Home Depot premises, Store # 2676, 135 Commercial Road, Leominster, Massachusetts	Knowledge concerning: (i) circumstances surrounding the happening of the slip and fall accident on October 14, 2002 at the Home Depot premises, Store # 2676, 135 Commercial Road, Leominster, Massachusetts; (ii) identity of coworkers/witnesses to Rivard's fall and injury.
Derek Grieco	Immaculate Power Sweeping LLC., 563 Mammoth Road, Pelham, NH or PO BOX 144, Londonderry, NH 03053	Knowledge concerning (i) the condition and maintenance of the parking lot area of the Defendant's premises at the time or prior to the slip and fall incident of Mary Rivard; (ii) maintenance and sweeping routine and schedule of the maintenance of the parking lot area of the Defendant's premises; (iii)

U MASS Memorial	60 Hearital Day 1	TZ 1.1
Health Alliance Hospital	60 Hospital Road Leominster, MA 01453	Knowledge concerning (i) Mary Rivard's personal injuries as a result of slip and fall accident of October 14, 2002; (ii) medical records and bills of treatment of Mary Rivard for slip and fall accident; (ii) prognosis for future medical treatment
Edward Kamens, MD	Leominster Hospital Medical Building 50 Memorial Drive, Ste 214 Leominster, MA 01453	Knowledge concerning (i) Mary Rivard's personal injuries as a result of slip and fall accident of October 14, 2002; (ii) medical records and bills of treatment of Mary Rivard for slip and fall accident; (ii) prognosis for future medical treatment
Cheong H. Kim, MD	Leominster Hospital Medical Building 50 Memorial Drive, Ste 212 Leominster, MA 01453	Knowledge concerning (i) Mary Rivard's personal injuries as a result of slip and fall accident of October 14, 2002; (ii) medical records and bills of treatment of Mary Rivard for slip and fall accident; (ii) prognosis for future medical treatment
Joseph Lanza, DMD	16 Depot Square Leominster, MA 01453	Knowledge concerning (i) Mary Rivard's personal injuries as a result of slip and fall accident of October 14, 2002; (ii) medical records and bills of treatment of Mary Rivard for slip and fall accident; (ii) prognosis for future medical treatment

Nicholas Manzoli, MD	Central New England Endodontics 100 Whalon Street Fitchburg, MA 01420	Knowledge concerning (i) Mary Rivard's personal injuries as a result of slip and fall accident of October 14, 2002; (ii) medical records and bills of treatment of Mary Rivard for slip and fall accident; (ii) prognosis for future medical treatment
Lloyd Alderson, MD	Leominster Hospital Medical Building 50 Memorial Drive, Ste 211 Leominster, MA 01453	Knowledge concerning (i) Mary Rivard's personal injuries as a result of slip and fall accident of October 14, 2002; (ii) medical records and bills of treatment of Mary Rivard for slip and fall accident; (ii) prognosis for future medical treatment
Hubbardston Family Chiropractic	48 Gardner Road Hubbardston, MA 01452	Knowledge concerning (i) Mary Rivard's personal injuries as a result of slip and fall accident of October 14, 2002; (ii) medical records and bills of treatment of Mary Rivard for slip and fall accident; (ii) prognosis for future medical treatment

B. Documents.

Copies of responsive documents are provided herewith.

C. Computation of Damages.

With respect to Mary Rivard's claims for personal injuries, Rivard anticipates presenting evidence that the damages resulting from the subject slip and fall incident will total approximately \$ 175,000.00 to 225,000.00. This calculation is based upon the pain

UNITED STATES DISTRICT COURT DISTRICT OF MASSACHUSETTS

C.A. NO. 04-40247-FDS

MARY RIVARD,)
Plaintiff	Ć
)
v.)
НОМЕ DEPOT,)
Defendant	,

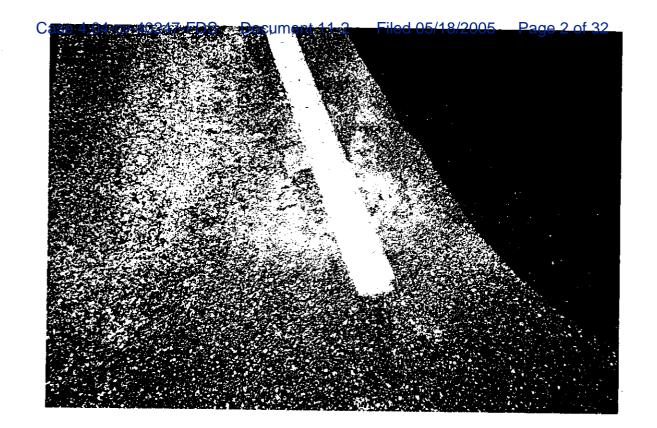
CERTIFICATE OF SERVICE

I, John L. Roncone, III, Esquire, hereby certify that I have served the within Automatic Required Disclosures of Plaintiff upon the Defendant, by mailing copies of same to the Defendant's attorney of record: Christopher A. Callanan, Esquire, Campbell, Campbell, Edwards & Conroy, One Constitution Plaza, Third Floor, Boston, Massachusetts, 02129, this ______day of May, 2005.

John L. Roncone, III









Sedgwick Claims Management Services, Inc. P.O. Box 105166, Atlanta, GA 30348-5166 Telephone 800-253-4527 ext 19688. Facsimile 770-384-2349

January 13, 2004

Derek Grieco Immaculate Power Sweeping PO BOX 144 Londonderry, NH 03053

RE:

Client:

Home Depot

Claimant:

Mary Rivard

Date of Loss:

10/14/02

Claim Number: 1904151

Dear Mr. Grieco:

More specifically, the claimant alleges that she tripped over a 'piece of harden cement' in the parking lot at store #2676 located in Leominster, MA. I confirmed with the store manager, Jason Carter that the substance was concrete cement spill that had harden around 6 inches in diameter. You can reach the claimant attorney, John L. Roncone, Roncone Law Offices, 142 Main St., Leominster, MA 01453 at 978-534-2444.

My understanding that your company maintains the parking lot daily at the time by way of sweeping and cleaning the parking lot. We feel that you should have been aware of the area involved that the claimant tripped over. In accordance we look to and request that you communicate this information to the appropriate insurer as may be required, and that you respond to, indemnify, and further defend Home Depot in this matter.

I would appreciate you advising me as to your decision on how you will be handling this matter, as well as the final outcome of any investigation or adjustment process of this claim immediately.

If you have any questions concerning the enclosed, or your organization's ability to indemnify and defend Home Depot in this claim, please contact me immediately at (800) 253-4527, extension 81592.

Lastly, I ask that you further acknowledge receipt of by signing and immediately returning a copy of this letter to my office via facsimile to 770-384-2652. Your attention to this matter will be greatly appreciated. If I can be of further assistance, please do not hesitate to contact me.

Sincerely,

Gary W. Garvin, PCLA Claims Examiner III

Case 4:04-cv-40247-FDS Document 11-2 Filed 05/18/2005 Page 4 of 32

Sedgwick CMS Home Depot Unit		
cc: John L. Roncone, Attorney		
ACKNOWLEDGE & AGREED TO:		
SIGNATURE	DATE	_
PRINT NAME/TITLE		

ad 14, 2002

TE

SENTINEL & ENTERPRISE

areham

psy was scheduled for today. teenager was hospitalized for ries that were not reportedly reatening.

sies asked anyone with inforcall State Police at 508-295e District Attorney's Office at 120.

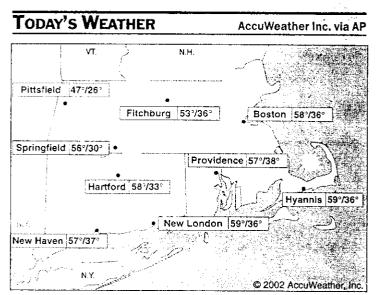


ters along the route of the

supporter George Heffron

ccusations

atories, where he was a pard member between 990 and 1993, was purased by Corning Inc. in 193. Romney's firm, Bain apital Inc., tripled its westment in the company of made \$7.4 million. In 1996, Damon pleaded alog to a federal conspiracy was seef declearding the government 1988 and 1993. The many paid a \$119 million



The Forecast

- Monday: Mostly sunny and breezy. Highs in the mid 50s. North winds 15 to 25. Tonight, clear and colder. Lows near 30.
- Tuesday: Mostly sunny. Highs in the mid 50s.
- Wednesday: Rain likely. Windy. Highs 52 to 57. Chance of rain 80 percent.

LOTTERY

LOTTEN

Sunday's Daily Number: 2-8-4-1

Payoffs based on a \$1 bet:

Massachusetts

Exact order: All four: \$4,072, First/last three: \$570, Any two: \$49, Any one: \$5. Any order: All four: \$170. First three: \$95. Last three: \$95.

via AP

Northern New England

Saturday numbers:

Powerball: 23-26-46-49-52

Winners: None

Next expected jackpot: 4.2 4.4

Mass Megabucks:

1-5-10-13-25-38

Winners: None

Next expected jackpot: \$4.7 million

What's open, closed on Columbus Day

Retail Stores/Supermarkets: Open; hours vary Liquor Stores: Open Convenience Stores: Open Restaurants/Taverns: Open

Pharmacies: Open

Registry of Motor Vehicles: Closed in Mass.; open in N.H.
State/County/Municipal
Offices: Closed in Mass.; open in N.H.
Stock Market: Open

HE RECORD

SENTINEL & ENTERPRIS

l Library

Committee, 7 p.m., Brooks House rvation Commission, 7:30 p.m., Town Hall

trial Development Commission, 7 p.m., afety Building, 7 South St.

cent Regional High School Building Comp.m., library, Oakmont Regional High Oakmont Drive.

al Plan Committee, 7 p.m., Lyman Build-Main St.

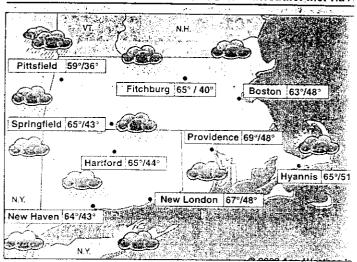
trial Development Commission, 7 p.m.,

ry Board of Trustees, 7:30 p.m. Ritter

d Town Meeting, 7 a.m. to 5 p.m., Thomas is Elementary School



AccuWeather Inc. via A



The Forecast

Today: Cloudy with a chance of rain. High in the mid-60s. Low 40 to 45.



Monday: Mostly sunny. High in the mid-50s. Low 30 to 35.



Tuesday: Mostly sunny. High-in the mid-50s.

Wednesday: Rainy. High 50 to 55.

LOTTERY

via A

Massachusetts

Saturday's Daily Number: 9-6-8-3

Payoffs based on a \$1 bet:

Exact order: All four: \$5,416 First/last three: \$758 Any two: \$65 Any one: \$6

Any order: All four: \$226 First three: \$126 Last three: \$126

Friday numbers

Megai/Millions: **9-11-17-26-41**......MB; 2

Northern New England

Pick 3: 0-2-2Pick 4: 6-8-0

Tri-State Megabucks: 2-3-23-27-28-35bonus: 3

What's open, closed on Columbus Da

Retail Stores/Supermarkets: Open; hours

Liquor Stores: Open Convenience Stores: Open

Restaurants/Taverns: Open

Pharmacies: Open Banks: Closed in Mass.; some open in N.H.

Post Office: No delivery Schools: Closed Libraries: Closed Dagiston of Maton

open in N.H.

State/County/Municipal Offices: Closed in Mass.; open in N.H.

Stock Market: Open MBTA Service: All buses, subway, trackless trolleys on Saturday schee ule.

MART buses and shut tles: No service.

Commuter rail and ferries: Regular weekday schedule.

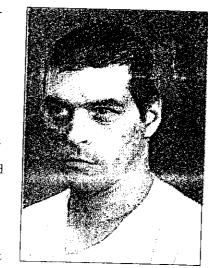
The Sentinal & Frier-

Oct. 12, 2002

HE RECORD

SENTINEL & ENTERPRISE

ostponed



SENTINEL & ENTERPRISE FILE PHOTO Henry Favreau.

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rial Bridge. Last Sunday, he spoke at an annual antiabortion rally on Boston Common.

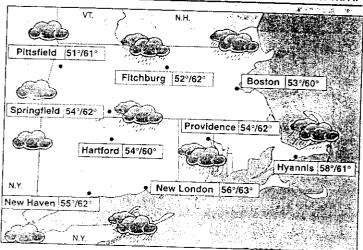
On Tuesday, he held a Mass in support of janitors who are striking for better wages and health care.

"I almost started crying when I saw that," former Vatican amhassidar Rey Flynn said. "Inat's the church I grew up with ... putting the credibility of the church behind those poor janitors."

Law, who was deposed in a civil lawsuit Friday, was not available for comment, according to his spokeswoman, Donna Morrissey. She said there's no design behind Law's recent appearances and noted he had appeared publicly in Canada at World Youth Day events and at the bishops' confer-

Today's Weather

AccuWeather Inc. via AP



The Forecast

- Today: Rain. High 55 to 60. Low in the lower 50s.
- Sunday: Cloudy with a chance of rain. High 55 to 60. Low 40 to 45.
- Monday: Partly sunny. High in the low 50s. Low in the low 30s.
- Tuesday: Mostly clear early, then mostly cloudy with a chance of rain. High in the low 50s.
- Wednesday: Rain likely. High in the low 50s.

LOTTERY

via AP

Massachusetts

Friday's Daily Number: 9-9-7-4

Payoffs based on a \$1 bet:

All four: \$4,932 First/last three: \$690 Any two: \$59 Any one: \$6

Exact order

Any order: All four: \$411 First three: \$230 Last three: \$115

Northern New England

Tri-State CashLotto: 2-9-14-20Wild: 5

ARRESTS

Shirley

Tuesday, Oct. 8
Ralph E. Ricker, 44, 29 Vine
St., Fitchburg, was arrested at 5
p.m. at the Shirley Police Station by Officer Peter Violette
and charged with two counts of
indecent assault and battery on
a person under 14 years old.

Pepperell

Tuesday, Oct. 8
Julius S. Lane, 22, 39 Pleasant St., Leominster, was arrested at 7:45 p.m. on Tucker Street by Det. William Greathead on a Gordner Court warrant for

charged with failure to display license plates, impeded operation of a motor vehicle, and operating a motor vehicle after license suspension.

Boxborough

Monday, Oct. 7

Juan R. Rodriguez, 58, 387
Blossom St., Fitchburg, was
arrested on a warrant for armed
assault with intent to murder at
5:09 p.m. on Massachusetts
Avenue by Officer Brett Pelley.
He was also charged with operating an unregistered and uninsured motor vehicle. illegally

RECORD

Convicted mayor asks to delay prison date

PROVIDENCE, R.I. (AP) - Former Mayor Vincent "Buddy" Cianci Jr. asked an appeals court Thursday to allow him to remain free on bail while his corruption conviction is reviewed by the higher court.

Cianci, 61, is due to report Dec. 6 to the Elkton Federal Correctional Institution in Ohio to begin serving his five year, four month sentence for racketeering conspiracy.

St., Leominster, was arrested on warrants by Officer Jesus Morales at 7:13 p.m. on Lorchris Street.

Kenneth M. Graves, 42, 14 Argentine St., Leominster, was arrested by Officer Aaron Kennedy at 8:03 p.m. on Argentine Street and charged with assault and battery.

Shirley

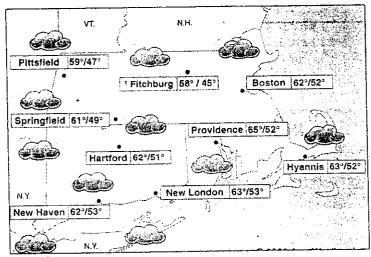
Sunday, Oct. 6 Shaun W. Armstrong, 23, 35 Heritage Lane, Leominster, was arrested at 2:40 p.m. on Catecunemaug Road by Officer Jack Hebert and charged

with operating a motor vehicle after license suspension.

Monday, Oct. 7 Ian K. Jordan, 21, 34 Harvard Road, was arrested at 2:56 p.m. on Harvard Road by Officer Jack Hebert and charged with operating a motor vehicle after license suspension.

Today's Weather

AccuWeather Inc. via AP



The Forecast

- Today: Mostly cloudy. A chance of rain in the afternoon. Highs near 60. East winds 15 to 20 mph. Tonight, cloudy with a chance of rain.
- Saturday: Rain. Highs 55 to 60. Chance of rain 80 percent.
- Sunday: Mostly cloudy with a chance of rain. Highs near 60. Chance of rain 50 percent.

LOTTERY

via AP

Massachusetts

Thursday's Daily Number: 3-9-3-3

Payoffs based on a \$1 bet:

All four: \$4,228 First/last three: \$592 Any two: \$51

Any one: \$5

All four: \$1,057 First three: \$197 Last three: \$197

Anv order:

Mass Millions: 8-11-13-43-45-48.....

Winners: none

Next expected jackpot: \$5.7 million

Northern New England

Pick 3: 6-0-6Pick 4: 0-2-8-3

Court

Jerry Yang, 19, 160 Plymouth St., Fitchburg, racing a motor vehicle, not responsible; negligent operation of a motor vehicle, continued without a finding to Sept. 30, 2003, \$100 court costs; speeding, responsible, filed. He was charged on June 19.

Cecilia D. McCarthy, 48, 261 Litchfield St., Leominster, operating an unregistered motor vehicle, responsible, \$100 fine; operating a motor vehicle with a suspended driver's license, guilty, \$500 fine, \$125 surfine, \$50 victim-witness fee. She was charged on July 9.

the nightime for a felony, guilty, one year in the Worcester County House of Correction, with credit for 36 days time served, to run concurrent. He was charged on Aug. 19. Breaking and entering in the nightime for a felony, guilty, one year in the Worcester County House of Correction, with credit for 36 days time served, concurrent; motor vehicle larceny, guilty, one year in the Worcester County House of Correc-tion, with credit for 36 days time served, concurrent; malicious destruction of property, guilty, one

He was charged on Sept. 3. Samuel Bermudez Jr., 26, 43 Madison St., Fitchburg, trespassing, guilty, 30 days in the Worcester County House of Correction, concurrent with sentence now being served. He was charged on Sept. 23.

Judge John J. Curran Friday, Oct.4

Mike Albano, no age given, 470 Grant St., Leominster, disobeying dog order, guilty, \$25 fine; disobeying dog order, to be dismissed upon payment of \$100 court costs; four other courts of disobeying dog order, dis-

Cct. 10, 2002

SENTINEL & ENTERPRISE

ver bail

led behind his ad used his radio thelp, then hind another car ched Favreau the cruiser and ŀе. s took out his .40lock handgun and ing, hitting twice. i has pleaded not charges of armed

ith intent to ssault with rape, assault and ith a dangerous ndecent assault ry on a person so charged with

i firearm without and unregistered idgun used by vas registered to Francis O'Reilly said.

Gray were taken to Gardner. Thomas by LifeFlight helir medical center, atment for his released. oad was closed for

le other accidents outh Ashburnham eve the roadway cident. ently investigating Bruce Pinto is in reconstruction. ੈached for com-

TODAY'S WEATHER AccuWeather Inc. via AP Pittsfield 59 /47 Fitchburg 65 / 40° Baston 62°/52° Springfield 61°/49° Providence 65°/52° Hartford 62 /51 Hyannis 63°/52°

The Forecast

- Tonight: Partly cloudy until midnight, then mostly cloudy. Low 40 to 45.
- Friday: Cloudy with a chance of rain midday. High near 60.
- Friday night: Occasional rain. Low 45 to 50.
- Saturday: A chance of rain in the morning, otherwise partly cloudy. Low in the upper 40s.

LOTTERY

via AP

Massachusetts

Wednesday's Daily Number: 6-4-7-5 First/last three: \$655

Payoffs based on a \$1 bet:

Exact order All four: \$4,681 Any two: \$56

Any order: All four: \$195 First three: \$109 Last three: \$109

Winners: none......Next expected jackpot: \$4.8 million

Powerball: 9-10-38-42-43.....PB: 5

Northern New England

Tri-State Megabucks: 6-11-16-21-29-34.....bonus: 31

YOUR GOVERNMENT TODAY

Ashburnham

Planning Board, 7 p.m., drop-in center, Fairbanks Memorial Hall, 32 Main St.

Cable Advisory Committee, 7 p.m., selectmen's office, Fairbanks Memorial Hall.

Ashby

Capital Plan Committee, 7 p.m., Lyman Building, 895 Main St.

Zoning Board of Appeals,

7 p.m., Lyman Building.

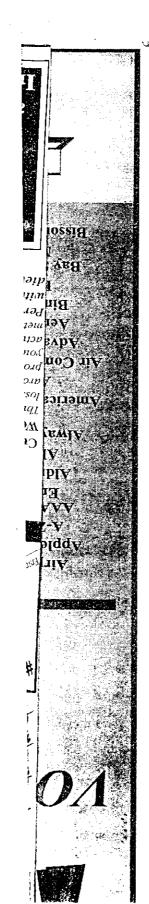
Fitchburg

Human Rights Commission, 5:15 p.m., Veteran's Room, City Hall, 718 Main St.

Fitchburg Airport Commission, 6 p.m., Terminal Building, Fitchburg Airport, Crawford Road.

Lunenburg

Finance Committee, 7 p.m., Town Hall.



IMMACULATE POWER SWEEPING, LLC 563 Mammoth Road Pelham, NH 03076-2131



BILL TO The Home Depot Maint. Dept. 2455 Paces Ferry Road

Atlanta, GA 30339

Floor C.3

Invoice

DATE INVOICE # 10/31/2002 6748

P.O. NO. **TERMS** DUE DATE PROJECT 2676455095 Net 45 12/15/2002 Store #2676 QUANTITY DESCRIPTION RATE AMOUNT Leominster, MA Vendor #71195855 Store #2676 Work order #2676455095 21 Parking lot litter control sweeping for the month of 42.00 882.00 October, Swept every Sun, Mon, Wed, Fri & Sat night. Thank you for choosing Immaculate Power Sweeping. Please indicate invoice # with payment. Total \$882.00



Sedgwick Claims Management Services PO Box 105166, Atlanta, GA 30348-5166 800-253-4527 Facsimile 770-384-2349

January 27, 2004

John L. Roncone, Attorney Roncone Law Offices, P.C. 142 Main Street PO BOX 767 Leominister, MA 01453

Re: Claimant:

Mary K. Rivard

Insured:

Home Depot

Date of Loss:

10/14/02

Claim Number:

1904151

Dear Mr. Roncone:

This acknowledges receipt of your letter of January 15, 2004 and medical regarding your client, Mary Rivard. Please forward the narrative medical of Lloyd Alderson, the Neurologist and all narrative medical that we did not receive from any other treating physician. Until we receive the entire narrative medical, we will not be able to make an offer of your demand of \$225,000.00.

I have tendered this claim to Derek Grieco of Immaculate Power Sweeping on January 13, 2004 which a copy was forwarded to you. We feel they should indemnify the Home Depot since they maintain the parking lots daily at the time of the incident. I request that you send your demand package to them. Also, I feel there is some comparative negligence upon your client, since the store indicated the alleged substance that she tripped over 'harden cement' was at least 6" in diameter and open and obvious if she was watching where she was going.

Thank you for your cooperation in this matter. You may reach me at 800-253-4527, ext. 81592.

Case 4:04-cv-40247-FDS Document 11-2 Filed 05/18/2005 Page 12 of 32

• Page 2 January 27, 2004

Sincerely,

Gary W, Garvin, PCLA

Claims Examiner III

cc Derek Grieco—Immaculate Power Sweeping



62 Maple Avenue Reene, New Hampshire 03431 603-353-3221 I-800-542-5385

RECEIVED

MAR 1 7 2004

SCMS

March 5, 2004

Gary Garvin Sedgwick Claims Management PO Box 105166 Atlanta, GA 30348-5166

RE:

Our Insured:

Immaculate Power Sweeping

Claim No.:

502285830

Date of Loss:

10/14/02 Mary Rivard

Claimant: Your Claim No.: 1904151

Dear Mr. Garvin:

Peerless Insurance Company is the general liability carrier for Immaculate Power Sweeping. We acknowledge that on or about January 13, 2004 you placed our insured on notice for contribution in reference to the above-captioned incident.

I am kindly requesting you please forward proof of liability to my attention. Where is the contract between Home Depot and our client that states we owe you defense and indemnity? My understanding from speaking with our insured is that our insured is a "litter control sweeping service." How could a litter control sweeping service possibly pick up dried cement on the ground? If they could pick up cement on the ground, they would pick up the parking lot as well.

I am sure you can see that my initial response is to deny your claim. If you have information to that warrants a reconsideration on my part, please forward it at your earliest convenience.

Sincerely,

Melinda LaBarge

Senior Claim Representative

800-542-5385, Ext. 84600

melinda.LaBarge@peerless-ins.com

ML:eg

Name of Patient: Hay hivard RE:

> THE ATTACHED DOCUMENTS ARE COPIES OF EXAMINATION, TREATMENT AND/OR BILLING RECORDS, THE CONTENTS OF WHICH ARE TRUE AND ACCURATE AS CERTIFIED, SUBSCRIBED AND SWORN UNDER THE PENALTIES OF PERJURY BY:

MARK L. Haberman, M.D. Name of Provider/Facility

MARK L. HABERMAN, M.D. LEUMINSTER HUSE MEDICAL BLDG SUTTE 214 LEOMINSTER MA Ø1453 (978)034-6863 red.10 09-7408676

MARY RIVARD 165 CHAPMAN PL LEOMINSTER MA 01455

11/11/02

Account: Desise

Servicing Dates-19/17/22-11/11/02

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RE: Name of Patient: MARY RIVARD

THE ATTACHED DOCUMENTS ARE COPIES OF EXAMINATION, TREATMENT AND/OR BILLING RECORDS, THE CONTENTS OF WHICH ARE TRUE AND ACCURATE AS CERTIFIED, SUBSCRIBED AND SWORN UNDER THE PENALTIES OF PERJURY BY:

Signature

Date

Print Name

Lloyd. Aldergon, U.P. D. Sc

Name of Provider/Facility

Note: All records/bills from physician office must be signed by physician.

Ø 002

B,

LLOYD M. ALDERSON M.D. 50 MEMORIAL DR. SUITE 211 LEOMINSTER, MA 01453 Telephone 978 537-9499

RECEIPT FOR SERVICES

			THE TOR SERVICES			
MARY RIV 19 SIMON H:976-92	AN HITT	7) DOE ROAD F	B:10241925 INS:MR M2 AP HUBBARDSTON, MA 01452	ΑY	ima Oooo	02/19/04
Date	Code BALANCE	Detail CARRIE	Description TO FORWARD	Amount	Patient 0.00	Insurance 0.00
061903						•.00
	o Lma NCD		LLOYD M. ALDERSON, M.D NEUROPATHY			
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072503 081403 101603	MRWO MRCK	5	MEDICARE CHECK MEDICARE WRITEOFF	+139.49 -45.64 -34.87	0.00	34.87
	O LMA NCD		LLOYD M. ALDERSON, M.D NEUROPATHY			
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112003	MRWO	5	MEDICARE CHECK	-43.53 -35.59	0.00	46.47 10.88
	O LMA NEU	355.9	LLOYD M. ALDERSON, M.D NEUROPATHY			
	EPM REFER MR		TODERAL	115.00	0.00	125.88
021904						

Name of Patient: MARY RIVARD RE:

> THE ATTACHED DOCUMENTS ARE COPIES OF EXAMINATION. TREATMENT AND/OR BILLHING RECORDS, THE CONTENTS OF WHICH ARE TRUE AND ACCURATE AS CERTIFIED, SUBSCRIBED AND SWORN UNDER THE PENALTIES OF PERJURY BY

Signature Date

Joseph P. LANZA

Print Name

Print Provider/Facility

STATEMENT

RIVARD MARY

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J.P. LANZA, D.M.D. P.L. LANZA, D.M.D.

16 DEPOT SOUARE LEOMINSTER, MA 01453

(978) 537-1977

MRS MARY RIVARD 166 CHAPMAN PLACE LEOMINSTER MA 01453

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J. P. LANZA, D.M.D.

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Name of Patient: MARY K RIVARD RE

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> > Signature

CHEONO H KIM MD Print Name

Name of Provider/Facility

Document 11-2 Filed 05/18/2005 Case 4:04-cv-40247-FDS Page 22 of 32 **STATEMENT**

This is a statement for professional services rendered by your physician. You may receive a separate bill from the hospital for its services.

SEE REVERSE SIDE FOR AN IMPORTANT

PATIENT NAME Mary Rivard BILL DATE ACCOUNT NO. AMOUNT PAID 04/10/03 9759

THIS IS A STATEMENT OF SERVICES RENDERED BY PHYSICIANS WHO ARE MEMBERS OF:

MESSAGE REGARDING HEALTH INSURANCE

Mary Rivard 166 Chapman Place Leominster, MA 01453

PLEASE REMOVE AND RETURN THIS PORTION WITH YOUR PAYMENT

DATE OF SERVICE **DESCRIPTION OF SERVICE** AMOUNT 10/17/02 Initial Office Consultation \$190.00 11/14/02 Paid on Account-Sedgwick Claims Mgmt. Svcs/The Home Depot -190.00 Current Balance 0.00 DATE **PATIENT NAME** ACCOUNT NO. PAY THIS AMOUNT

Payments received after this date will appear on your next statement.

MAKE CHECK PAYABLE TO:

IMPORTANT MESSAGE REGARDING YOUR ACCOUNT

Name of Patient: Mary Rivard

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Signature Bride

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Page 25 of 32 60 Hospital Road Leominster, MA 01453

Tel: 978-466-2000

DATE: // /2 - 0 2

"The attached itemized bills is a true and accurate copy, subscribed and sworn to under the pains and penalties of perjury."

File Maintenance Clerk Patient Accounts 978-466-2294

ACCOUNT DETAIL DATA	SVC FAC: 5050 11/12/02 0910
PT NO: 5006946650 *RIVARD , MARY REG: 10/14/02 DSCH: FC: A PT:	MR NO: 301073 ACCT TYPE: 0 E EXP IND: ACCT BAL: 82.27
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RE:

Name of Patient:

MARY KIVARD

THE ATTACHED DOCUMENTS ARE COPIES OF EXAMINATION, TREATMENT AND/OR BILLING RECORDS, THE CONTENTS OF WHICH ARE TRUE AND ACCURATE AS CERTIFIED, SUBSCRIBED AND SWORN UNDER THE PENALTIES OF PERJURY BY:

Note: All records/bills from physician office must be signed by physician.

PAYABLE TO:

* * *

MAKE CHECKS as 4:04-cv-40247-FDS Document 11-2

Filed 05/18/2005 Page 28 of 32 **STATEMENT**

CENTMASS IMAGING, INC. BILLING OFFICE / A75 2527 CRANBERRY HIGHWAY WAREHAM, MA 02571-5008

800 294 5696 / 508 295 5556

FOR SERVICES RENDERED AT:

00000

PLEASE KEEP THIS PORTION FOR YOUR RECORDS.

EIN: 04-3229742

MARY RIVARD A75*301073**00 166 CHAPMAN PLACE LEOMINSTER, MA 01453

PAYMENTS RECEIVED AFTER BILLING DATE WILL NOT APPEAR ON THIS STATEMENT.

ACCOUNT NUMBER BILLING DATE | BALANCE NOW D. PATIENT MARY RIVARD 301073A75 | 04/14/03 | . 00

	3010738731 04	<u> </u>
DATE OF PROCEDURE ICD9-CM CODE CODE	DESCRIPTION OF SERVICE	AMOUNT
10/14/22 70/03/3 844.4	Facial Boyes Bulows	10 8c
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*THIS CHARGE IS FOR INTER	RPRETATION OF X-RAYS BY	
CENTMASS IMAGING, INC.		<u> </u>

AGED BALANCE PATIENT CURRENT OVER 30 DAYS | OVER 60 DAYS | OVER 90 DAYS | OVER 120 DAYS **BALANCE** .00 **AMOUNT** PLEASE RETURN THIS PORTION WITH YOUR PAYMENT. **ENCLOSED**

PRIMARY INSURANCE MEDICARE

SECONDARY INSURANCE BANKERS LIFE & CASUALT

TD#: 064209120A

1D#: 900191878

GRP:

GRP:

CENTMASS IMAGING, INC. BILLING OFFICE / A75 2527 CRANBERRY HIGHWAY WAREHAM, MA 02571-5008

PATI	ENT'S	NAM	Ē

MARY RIVARD ACCOUNT NO.

301073A75 STATEMENT DATE

04/14/03

RE Name of Patient:	Hary	Rivard	· · ·
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Maight Culler 04/21/03
Signature Date

Print Name H. Culler 04/21/03

C.N.E.E.

Name of Provider/Facility

CENTRAL NEW ENGLAND ENDODONTICS **100 WHALON STREET** FITCHBURG, MA 01420

Page 1 of 1

Case 4:04-cv-40247-FDS Document 172 Filed 05/18/2005 Page 30 of 32

Froviders (Ail)

Start Date 01/08/2003 End Date 01/08/2003

Fitchburg Central New England Endodontics

100 Whalon Street Fitchburg, MA 01420-7194 (978) 345-7555

Mary Rivard

166 Chapman Place	Patient Information - W	Account Balance:	\$0.00		
Leominster, MA 01453-	Home: (978) 466-6991	Patient:	\$0.00——— Estimated		
	Business: (978) -	Insurance:	\$0.00 Balance		
Guarantor: Mary Rivard	Birthdate: 10/24/1925	Next Recall: None Scheduled			
	Soc. Security: 064-20-9120	Next Appt: None			
Name: Central New England Endodontics	Frovider Information	and a series of consider	O Paris San Paris San Art San		
Name: Central New England Endodontics	License #: 15652	Tax ID: 04262138			
Signature:		<u> </u>			
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Wed., Jan. 08, 2003 at 11:27 am

Page 1 of 2

Report Parameters: Report: TREATMENT PLAN	
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Other Included options: None	rejer vij etti

Mary Rivard

Signature:				<u>-</u> -
Name: Central New England Endodontics	License #: 15652	Tax ID: 04262138	9	-
	** Provider Information			
	Soc. Security: 064-20-9120	Next Appt: None		
Guarantor: Mary Rivard	Birthdate: 10/24/1925	Next Recall: None Sch	eduled	
	Business: (978) -	Insurance:	\$0.00	Balance
Leominster, MA 01453-	Home: (978) 466-6991	Patient:	\$0.00——	Estimated
166 Chapman Place	**************************************	Account Balance:	\$0.00	

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Planned	00220	PERIAPICAL XRAY	10	22	\$20.00	\$20.00 01/08/2003	
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Planned	03310	ROOT CANAL-ANTERIOR	10	22	\$800.00	\$800.00 01/08/2003	
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⊃lanned	02954	*POST BUILDUP	10	22	\$325.00	\$325.00 01/08/2003	
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RE: Name of Patient: Mary Rivard

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Lacca T. Byn 4/15/03 Signature Date

Patricia T. Byron

Print Name

Du Edward Komens

Name of Provider/Facility

Case 4:04-cv-40247-FDS Document 11-3 NoTES NOTES Page 2 of 26

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Name of Patient: MARY RIVARD RE:

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Note: All records/bills from physician office must be signed by physician.

Lloyd Alderson, MD, D.SC

Neurology

Neuro-Oncology

MARY RIVARD DOB: 10/24/25

JUNE 19, 2003

The patient is a 77 year old, right-handed woman with a history of a fall and a left maxillary sinus fracture. She comes in today for evaluation. Dr. Haberman referred her to me. The patient had a fall while entering the Home Depot Store on 10/14/02. She tripped on a piece of cement on the floor. She hit the floor with the left side of her face and suffered a left maxillary sinus fracture. After the trauma, the left side of her upper lip and her cheek was numb. Dr. Kim has seen the patient in the past, which noted the fracture, but did not feel the surgical intervention was indicated. Initially she was told that the nerve would heal in 6 to 8 weeks, things have improved somewhat, but not markedly. She remains numb in the cheek and in the upper teeth on the left side. She describes the feeling like it was novocaine. It feels swollen, but it isn't.

PAST MEDICAL HISTORY: The patient is status post-cardiac catheterization times 2 and angioplasty times 1. She has some arthritis in her left hip.

SOCIAL HISTORY: She is a retired office worker. She does not smoke.

EXAMINATION: She is alert and coherent. There is no evidence of aphasia. Her visual fields are full. Her extraocular movements are in intact. Her face is symmetric. There is loss of sensation to pin in a V2 distribution on the left side. Hearing is intact bilaterally. Soft palate elevates symmetrically and tongue is midfine. She has good strength in all 4 extremities with no pronator drift. Rapid movements are intact bilaterally. She has a normal sensory exam with no evidence of extinction or astereognosis. She has a normal gait including heel and toe waiking.

ASSESSMENT: The patient now, 8 months status post to trauma to the left maxillary sinus. The patient came in with films. I see soft tissue density in the area of the sinus, but I cannot clearly identify a fracture. Her exam is very consistent with loss of function of the second division of the V cranial nerve. I explained to her that if the nerve ends are not close to each other at the site of the break, that it may require 6 to 12 months before the nerve can reintegrate her face. I will ask her to follow up with me in the fall. I asked her whether or not she has significant pain. She does not see to have pain and we raised the question of starting her on some Neurontin. The patient would rather not start on any of the medications right now. It is not painful enough for her to consider taking a medicine on a regular basis. I told her that if the pain does develop with time, we might want to start her on the Neurontin. She will get back to me if that is the issue.

MARY RIVARD PAGE 2

JUNE 19, 2003

Lloyd Alderson, M.D.

LA: djm

Referring Physician: Mark Haberman, M.D., 50 Memorial Drive, Leominster, MA 01453

Neurology • Neuro-Oncology

MARY RIVARD DOB: 10/24/25

OCTOBER 15, 2003

Mary Rivard is here for a follow up visit. She is a 77 year old, right-handed woman who I first saw in June of 2003 for evaluation of numbness in her left cheek. The patient suffered a maxillary bone fracture when she fell on 10/14/02. The CT of the head showed a maxillary bone fracture and a maxillary sinus fracture. The patient was initially told that the numbness in her left cheek might improve in 6 to 8 months; it did not. She was referred to me.

Her examination in June 2003 showed a loss of sensation in a distribution consistent with the second division of the V cranial nerve on the left side. The other cranial nerves are all intact.

The patient comes in today for follow up. The numbness in her face has not improved. She continues to have a cold feeling and a loss of sensation in the face. It remains limited to just the cheek below the eye and above the mouth on the left side. The patient denies any hearing loss. She denies any change in her vision. However, recently she has noted a new symptom. She has pain and numbness that goes down into her right arm. It occurs when she is sleeping at night. It sometimes occurs while she is driving or holding a newspaper. The discomfort goes into the fingers. Sometimes it improves with just shaking the arm. The patient was referred to a chiropractor. She has had some treatments with him, but has not had any improvement. The patient denies any bowel or bladder involvement. She denies any problems walking. She has some occasional numbness in the left arm.

EXAMINATION: Her exam today reveals the same loss of sensation in the maxillary division of the V cranial nerve on the left as seen before. Her sensory exam at this moment is intact in the right upper extremity. Her reflexes are 2+ at the biceps and 1+ are the triceps. She has 2+ reflexes in the knees. Her toes are down going. Sensation in the feet is intact. Sensation in the arms is intact to light touch, joint position sense and pin.

ASSESSMENT: I explained to the patient that the numbness in the face might never improve. It is likely there was a transection of the nerve and without a neurosurgical procedure it may not improve. Even if the break in the nerve could be identified and realigned it may not increase the sensation in the face. Regarding her arm, however, I think we do need to look into the possibility that this could represent a disc herniation or at least compression of the nerve root. The distribution of her problems would argue that

MARY RIVARD PAGE 2

OCTOBER 15, 2003

it might be a C7 nerve root consistent with a compression of the nerve at the C6/C7 disc. I will ask her to undergo an MRI of the cervical spine and follow up with me after that.

Lloyd Alderson, M.D.

LA: djm

Referring Physician: Mark Haberman, M.D.

Neurology • Neuro-Oncology

ě

MARY RIVARD DOB: 10/24/25 FEBRUARY 4, 2004

Mary Rivard is here for a follow up visit. She is a 78-year-old woman who fractured bones in her face in October of 2002. The patient fell in a store in Fitchburg. Since then she has had numbness in the left face. She has, more recently, has had some numbness and tingling in the right arm. I saw her first in June of 2003 and again in October of 2003. In October, we obtained an MRI of her cervical spine because of the arm symptoms. That scan noted evidence of degenerative disc disease at C5/6 and C6/7. At C6/7 there was evidence of a postural bony ridge associated disc resulting in minimal effacement of the anterior subarachnoid space. This resulted in minimal compromise of the neural foramina. The spinal cord canal was not compromised. The patient continues to have some right arm symptoms. She describes some pain and tingling involves the middle fingers of the right hand and radiates up the arm. It occurs particularly when she is lying in bed at night, driving or holding something. She feels that it has worsened recently.

EXAMINATION: There is loss of sensation in a V2 distribution on the left. The patient has good strength and sensation in the upper extremities bilaterally. Her reflexes are 2+ in the biceps, but absent in the triceps bilaterally. She has good strength in her legs and normal sensation.

ASSESSMENT: The patient continues to have discomfort both in the face and the arm. I will start her on some Neurontin at 300 mg bid to see if that helps. I will have the MRI of the cervical spine repeated, as her symptoms seem to have worsened. There was an abnormality there and it is possible that it has progressed. It did not seem significant on the initial scan, but again it may have changed. I will have her follow up with me in about one month.

Lloyd Alderson, M.D., D.Sc.

LMA: djm

Referring Physician: Mark Haberman, M.D.

Name of Patient: MARY K RIVARD RE

THE ATTACHED DOCUMENTS ARE COPIES OF EXAMINATION

TREATMENT AND/OR BILLING RECORDS, THE CONTENTS OF WHICH ARE TRUE AND ACCURATE AS CERTIFIED, SUBSCRIBED AND SWORN UNDER THE PENALTIES OF PERJURY BY

Signature

CHEONE H KIM MD

Name of Provider/Facility

Case 4:04-cv-40247-FDS Document 11-3 Filed 05/18/2005 Page 10 of 26 CHEONG H. KIM, M.D., INC.

Leominster Hospital Medical Bldg. • Suite 212 • 50 Memorial Dr. • Leominster, MA 01453-2289 • (978) 537-8377 • Fax (978) 534-2334

CONSULTATION REPORT

PATIENT: Mary Rivard

DATE: October 17, 2002

REQUESTING PHYSICIAN: Mark Haberman, MD

CHIEF COMPLAINT: Fx maxillary sinus.

PRESENT ILLNESS: Dr. Haberman called me this morning to ask me to see this patient with fx of maxillary sinus wall. A very young-looking 77 year old lady was evaluated in my office and hx obtained by pt as well as Dr. Haberman over the telephone and also ER record of 10/14/02 in detail. She fell after tripping over a bag of cement at Home Depot 3 days ago, 10/14/02. Then she was brought to the ER that afternoon when x-ray was taken to find a fracture. She told me that she had bleeding from the nose intermittently whenever she blew her nose for about 2 days. As of today there is no more bleeding. She told me that her vision is okay and no nasal obstruction, difficulty chewing or difficulty breathing. Denied headache or dizziness.

SYSTEMIC REVIEW: Heart disease.

PAST HISTORY: Appendectomy, T&A, partial hysterectomy.

FAMILY HISTORY: Negative.

SOCIAL HISTORY: Does not smoke or drink alcohol.

MED: Heart medications, of which the names she does not remember. Vitamin.

ALLERGY: None.

PHYSICAL EXAM:

Face and Skin: Swelling and ecchymosis of the infraorbital area with some tenderness. However, palpation of the infraorbital rim was intact with no deformity. Left face is numb. She also informed that left upper teeth area also numb. No visible laceration of the skin. Other than slight swelling of the face left side, no other abnormalities. Ear: Ear canals and tympanic membranes bilateral normal. No infection fluid or perforation.

Nose: No bleeding. No polyps or tumor. Good airway.

Oral Cavity: Some ecchymosis of the buccal membrane left side which I think is due to the fx of lateral wall of the maxillary sinus. No hematoma or infection. The rest of oral cavity was negative.

Throat: Negative. Tonsillectomy scar.

Neck: Negative.

IMPRESSION:

- 1. Fx maxillary sinus left side, probably lateral and anterior wall.
- 2. Numbness of left face and upper teeth most likely due to contusion rather than severence of the infraorbital nerve left side.
- 3 . Air fluid level on x-ray film of 10/14/02 is blood from this fracture. RECOMMENDATION:
 - 1. I reviewed the facial bone x-ray film of 10/14/02 along with radiologist's report and ER record of 10/14/02.
 - 2. I told her that her fx will heal on its own without surgical intervention.
 - 3. There is some blood in the left maxillary sinus cavity at this time, and this also will clear on its own, even though it might take some time.
 - 4. I also told her that numbness will go away but will take some time, perhaps many weeks or months.
 - 5. Letter to Dr. Haberman.

Sincerely yours,

Cheong H. Kim, MD, FACS

RE Name of Patient:	Hary	Rivard	/
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THE ATTACHED DOCUMENTS ARE COPIES OF EXAMINATION. TREATMENT AND/OR BILLING RECORDS, THE CONTENTS OF WHICH ARE TRUE AND ACCURATE AS CERTIFIED, SUBSCRIBED AND SWORN UNDER THE PENALTIES OF PERJURY BY

Gloria H. Cullen 04/31/03

C. N.E.E. Name of Provider/Facility

CENTRAL NEW ENGLAND ENDODONTICS **100 WHALON STREET** FITCHBURG, MA 01420

Document 11-3

Filed 05/18/2005 Page 12 of 26

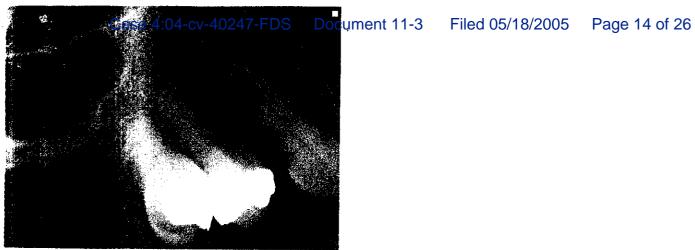


Case 4:04-cy-40247-FDS Document Welcome to Our Office!

n order to serve you promptly we will need the following information. Please print legibly. All information will be strictly confidential.

Date: 1/8/03	Cer	ntral New Engla	ndodontics	
'ATIENT INFORMATION:		C/	idodonitos	
'lease circle: Ms. Mr. Mrs		1		
Kivard	MARY	_ K		
LAST NAME	FIRST,NAME	MI	NAME PREFE	RENCE
Street Address: 166 (hapman	Place City: Leo	minster	State: MA	Zip: <u>6745⁻3</u>
20.Box:	City:		State:	Zip:
Home Number: 978-466-6991	Work Number:	•	Email Address	S:
Social Security Number: 064 - 26 91	20 Date of Birth: 10/24/25 S	ex: M X F Spo	ouse's Name: <u>Rober</u>	<i>t</i>
Your Employer:	Employ	er's Address:		
Whom may we thank for referring you?	DR. Lanza	General Dent	tist:	
MEDICAL HISTORY: Please check a	any of the following which may	apply to you now o	or in the past:	
Heart Attack	Heart Disease	—— Hepatitis A,	B or C	– Asthma
Rheumatic Fever	Stroke	——Low Blood I	Pressure ———	– Sinusitis
Mitral Valve Prolapse	Cardiac Pacemaker	Epilepsy/Co		- Cancer
AIDS or HIV Positive		High Blood		_ Diabetes
		Kidney Dise		_ Fainting
		Thyroid Pro		_ Emphysema
		Allergies		_ Other
Any other diseases or problems? Fac	ial & Dental Proble	e ms	· 	
Do you need to be premedicated for denta	l visits?			_
Have you ever had a reaction to any of the	e following?			
— Local Anesthetic — Other — Penicillin — Sulfa	Antibiotic ——— Barbituate	s Aspirin	Latex R	ubber Laborine Tano
What medications are you currently takin	g (including aspirin).	101 / EVISIA 1 + 3	, 0301 B140 ,779 arec	<i>brombe</i> ,20 - 1,
Women: Are you pregnant? Do you take birth control pills?	es No Possibly; If yees	es, what month?		
THE PURPOSE of endodontic treatm degree of success, it can not be guarantee even extraction.	ent or root canal treatment is to d. Occasionally, a tooth which	save a tooth rather has had a root canal	than remove it. Althou treatment may reqiure	igh treatment has a high e retreatment, surgery or
Treatment is usually a non-surgical proce will be fully explained, including alternat advance.	dure, but in some cases, a surgion ive modes of therapy. Occasion	cal approach is necentally, premedication	essary. Before any trea may be indicated. Th	tment is begun the reason(s) is will be discussed in
PLEASE NOTE: Our fee will not include	e a crown on the tooth. You mu	ist return to your ge	neral dentist to have th	nat treatment completed.
<u>PLEASE</u> : How are you feeling today:				
Confident Hap	py ☑ Curious ☐ Fright	tened 🗹 Anxiou	as Pained	Other
The second secon		_		- :

Re-eval #11=13



'ATIENT INFORMATION:

ast Name: RIVARD irst Name: MARY O Number: 064209120 xam Date: 01/08/2003 nage taken on: 01/08/2003

entral New England Endodontics

ITCHBURG PRACTICE 00 Whalon Street

itchburg, Massachusetts 01420

oice: 978.345.7555 ax: 978.348.2612

RE: Name of Patient: MARY RIVARD

THE ATTACHED DOCUMENTS ARE COPIES OF EXAMINATION. TREATMENT AND/OR BILLING RECORDS, THE CONTENTS OF WHICH ARE TRUE AND ACCURATE AS CERTIFIED, SUBSCRIBED AND SWORN UNDER THE PENALTIES OF PERJURY BY

Signature Date

Joseph P. 1-ANZA

Print Name

	Case 4:04-cv-40247-FDS	Document_11-3	Filed 05/18/2005	Page 16 of 26
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DATE TOOTH		20.03		
DATE		np.		



HealthAlliance Hospital

Leominster Campus 60 Hospital Road Leominster, MA 01453 Tel: 978-466-2000

AFFIDAVIT

"Subscribed and sworn to this 31^{st} day M_{WC}/M A.D. 2003 under pains and penalties of perjury",

- 1. I am a duly authorized Custodian of the Records for UMassMemorial~HealthAlliance
- 2. "These records are kept pursuant to M.G.L.C. 233 s 79G@. I have the authority to certify that the records you requested are attached regarding;

(Patient's Name)

(# of pages enclosed)

3. That the records referred to were prepared by persons having actual knowledge of the entries contained therein, immediately or soon after the happening of the events or incidents which they purport to depict, and were kept in the regular course and scope of my employer's business.

Deborah L. Borkowski, MS, RHIA/Designee

Director, Health Information Services

Date

Case 4:04-cv-40247-FIRM EPIGENICY DEPARTMENTO 5/18/2005 MEDICALE MEDICAL CONTROL OF THE CONTROL **UMassMemorial** ius Nσ. 000000301073 LH HealthAlliance REGISTRATION FORM Patient: 5006946650 RIVARD MARY ĸ Enc # Date/ 166 CHAPMAN PLÁCE 10/14/2002 15:44 Time: Address 01453 LEOMINSTER MΑ LER. Station: SSN #: _064-20-9120 E Service: LER Priority: R
WALK IN /SELF REFERAL Type: $\textbf{F}_{\text{M.S.:}}$ 10/24/1925 76Y М Sex: DOB Source: E ID SG2 DL WA Lang: Arrival Mode Birth Place W vet (978) 4666991 DAY, MARY K ROMAN CATHOLIC Last ER: Last Admission Former Name 09/16/2002 Last Outpatient: Last Discharge Religion ST CECILIA Church Physician: JOE, MARY LYNN 018374 E.D. Guarantor: Relation HOME DEPOT WILLIS, PAUL E.D Name (978) 8400800 086892 Phone 139 COMMERCIAL RD HABERMAN, MARK L. Primary Care: Address 01453 015479 LEOMINSTER МΔ NO Info Given: YES Advance Directive: Employer () Agent: Phone FG 9/02 Comments: Relative HUSBAND Relation RIVARD, ROBERT (978) 4666991work Accident/Onset Ind.: Name: ABRAS ON LT SIDE FAC () Chief Complaint: Home # 166 CHAPMAN PÜÄČE Onset Date/Time: Add.: LEOMINSTER MΑ 01453 10/14/2002 15:00 Accident Date/Time: Expected Arrival Date: INSURANCE (Name, Policy, Group) PERSONAL-NO INS MEDICARE D/P BANKERS LIFE 2: 3. OO 064209120A 900191878 NONE P D BDX 9083 4444 LAWRENCE AVENUE Add. Add. Add. BRAINTREE, MA 02184 CHICAGO, IL 60630 Expired: Autopsy (Y/N) Discharge Date: Discharged to < 48 hrs. 🔲 > 48 hrs. Consultant: Consults: Abstract Complete 10-23 PHYSICIAN ORDERS □ CBC \square CXR □ UCG □ Clot & Hold □ EKG ☐ Chem 7 □ Cardiac Enzymes Type & Rh □ UTox □ Port. CXR ☐ Serum Tox □ 02 □ PT Blood Culture x □ Port C-Spine Telemetry □ PTT Culture: **HCG Qualitative** C-Spine ☐ LFT's ☐ HCG Quantitative □ KUB ☐ QZ Sat. Urine Dip Td 0.5 cc IM
Respir. Teaching ☐ Upright ABD □ ABG Amylase U/A □ G/Ć Urine Culture Q Strep Extrem. X-ray: □ ETOH CT Scan: ☐ Med. Record Chlamydia Time **ISCHARGE INSTRUCTIONS** 100 DX CODE TIME OUT HANDOUT GIVEN

ONDITION ON DISCHARGE PHYSICIAN'S INUTAL NAMEI VAHII, MARY I HAVE RECEIVED WRITTEN AND/OR ORAL INSTRUCTIONS AND FULLY UNDERSTAND THEM. SIGNATURE (PATIENT OR RESPONSIBLE PARTY)

EMERGENCY RHYSICHALLUL

REGISTRATION PARTITIVE 15:44

TEACON SERVING ACCIDENCENT TO PRODUCE TO A REPORT OF the first planes of the second And the second second entropy of the second of the second \$. CONTRACTOR OF THE PROPERTY OF La contra de contra de la contra del la contra del la contra del la contra de la contra de la contra del la contra de la contra del la contra del la contra de la contra del la contr and the second of the

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1 10-14-03

K Mary K. Revard

Mury K. Rivard



Document 11-3

ed 05/18/2005 Page 20 of 26 hysic. A Report

MRN: 000000301073 Encounter #: 005006946650

Name: RIVARD, MARY, K Street: 166 CHAPMAN PLACE

City: LEOMINSTER, ST: MA Zip: 01453

Age: 76Y DOB: 10/24/1925 Sex: F Phone: (978)466-6991

Date of Registration: 10/14/02 15:44

PCP: HABĚRMAN, MARK L.

GREL: O Guarrantor: HOME DEPOT, Ins #1: N55 MEDICARE O/P Policy #1: 064209120A Ins #2: I53 BANKERS LIFE Policy #2: 900191878

Ins #3: P99 PERSONAL-NO INS Policy #3: 00

Patient arrived via private automobile. The patient's condition upon arrival was stable. Accompanied by spouse. The patient is a good historian.

CHIÉF COMPLAINT: facial injuries.

Patient presents s/p fall after tripping over a bag of cement at Home Depot. She states she didn't see the bag and fell primarily on her left side/face. She felt a "crack". She states she did not have LOC. Fall was witnessed. **REVIEW OF SYSTEMS:** Head: No headache. Eyes: no pain, discharge, or recent change in vision.

Ears: No infections, hearing loss, tinnitus, vertigo or discharge.

Nose: noted nose bleed during interview which was limited. No congestion.

Throat: No sore throat, dysphagia, or hoarseness. Neck: No pain, stiffness, or swelling. Neurological: No headache or focal neurological symptoms. Cardiac: No chest pain or palpitations. Respiratory: No cough or dyspnea. Musculoskeletal: No significant back pain.

Skin: ecchymosis and abrasion to the left upper maxillary and orbital area. Also notes facial numbness on the left which includes left upper incisors.

PMH :history of CAD.

PHYSICAL EXAM: Vital Signs: She is afebrile.BP 125/98. Pulse 60. HEENT: ecchymosis to the left orbital area. Abrasion to the left lateral face. No obvious deformity. Skin; abraded on left 2nd digit. NOSE: bleeding noted for approximately 65 minutes. WEII controlled. Facial movements are symetrical. No evidence of motor loss. Sensation loss noted along midmaxillary line. TOngue midline.

. Negative Battle/Raccoon sign.

No c/o of diplopia. EOMS intact. PERLA. Fundi benign.

NECK: supple. No C-Spine tender. LUNGS: CTA

MOTOR: negative.

NEURO: nonfocal except as noted. XRAY: Facial bones. Sinus fullness on the left. Likely maxillary fracture.

Reviewed with ED physician.

DIAGNOSIS: Left facial fracture-maxillary.

Dentition intact.

Lesser orbital nerve sensation loss. No motor loss.

The following medications were given to the patient at discharge: none TD was given per nursing.

The following prescriptions were given to the patient: none

Patient was discharged home. The patient is well appearing upon discharge, appearing in no apparent distress. Return is advised if condition worsens in any way.

Printed instructions given for care as written. ENT reevaluation to be arranged by patient. Patient is also strongly advised re: head injury warnings. Call if visual changes, fever chills or concerns. Bacitracin to abrasions.

Copy of chart was faxed to Dr. Mark Haberman and Dr. Daniel Ervin.

Karen M. Fleming NP-C

Mon Oct 14, 2002 1830

Case discussed with Paul Willis, MD who is in agreement with evaluation and treatment as stated above.

Page: 1 of 2

Original - Medical Record

Paul Willis , MD

EMERGENCY DEPARTMENT Document AGE RECORD 5/18/2005 Page 22 of 26

HealthAlliance		4 IXSAUL I	COND		· ·		
Date 1011-102 Time 1525		ΛC	May		10/57/18 DOB	5 ·	X M F
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☐ No Previous Health Problems ☐ Heart Disease ☐ Stroke	<i>a</i>	Avulsion -		. – L		SSESSMENT	N/A
☐ Angina ☐ Seizures	☐ G.I. ☐ Psychiatric	Bleeding: D-C	ontrolled U		No Complaints ☐ Burning/Frequen		nk Pain maturia
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☐ Asthma ☐ Cancer	☐ ETOH/ Drugs	way	CIVIDA C	<u> </u>	Other		
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ABUSE ASSESSMENT: Pt.	at risk for	Capillary refill	_	ise [NEONAT	AL/PEDIATRIC	C NA
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Case 4:04-cv-40247-FDS Document 11-3 Filed 05/18/2005 Page 24 of 26

Final Report

Printed: 10/14/2002 17:16:34

<u>UMass</u> Memorial

HealthAlliance - Leominster

Patient Name:

RIVARD, MARY

Account Nbr: MRN:

005006946650 30-10-73

60 Hospital Road, Leominster, MA 01453

DOB:

10/24/1925

Phone Nbr:

(978)466-6991

Sex: F

Svc:

LER

Pt. Class:

EMERGENCY

FLEMING, KAREN

Order No: Ordering Dr: 90006

Pt NS/Room:

Priority:

STAT

Primary Care Dr: HABERMAN, MARK L.

DATE OF EXAM:

Oct 14 2002

LXR 4602 - XR FACIAL BONES COMPLETE:

CPT: 70150

CLINICAL HISTORY: INJURY.

RESULT: Four views of the facial bones demonstrate evidence of an air fluid level within the left maxillary sinus. There appears to be a disruption of the lateral wall of the left maxillary sinus. Clinical correlation is needed. The right maxillary sinus is normal as well as the ethmoids and frontal sinuses. An orbital or mandibular fracture is not seen.

IMPRESSION: Evidence of fracture through the posterior lateral wall of the left maxillary sinus with an air fluid level.

DATE OF EXAM: Oct 14 2002

LXR 4605 - XR MANDIBLE COMPLETE:

CPT: 70110

CLINICAL HISTORY: INJURY.

RESULT: Three views of the mandible demonstrate no evidence of mandibular fracture.

Interpreting Physician:

WALTERS, GUILLERMO, M.D.

Transcribed by / Date:

JAR on Oct 15 2002 8:51A

Approved Electronically by / Date:

WALTERS, GUILLERMO, M.D. Oct 15 2002 9:51A

Distribution: Attending

WILLIS, PAUL

PCP

HABERMAN, MARK L.

HEAD INJURY

You have suffered a head injury and even though you are being allowed to go home, a relative or friend should stay with you, and you both should read the following instructions:

During the first 24 hours:

- Eat and drink very little. Clear liquids are best if your stomach is upset.
- Drink no alcoholic beverages.
- 3. Relax in bed if possible. No school for children; no work for adults. Do not exert yourself in any way.
- 4. No sedatives or sleeping pills.
- 5. Do not drive a car or operate tools and instruments which could harm you if you were not alert.
- 6. Try to nap or sleep with the head elevated on at least one or two pillows. Do not sleep flat.
- 7. If the patient had previously lost consciousness ("passed-out") following injury, we advise that they not be allowed to sleep for periods longer than two hours without being aroused. They should not be left alone.
- 8. Avoid Aspirin or compounds containing Aspirin. Use acetaminophen (Tylenol) instead.

If any of these symptoms appear, call your doctor or return to the Emergency Department immediately:

1. Persistent nausea or vomiting (more than twice).

(over)

IELP YOUR INJURY LEAL! Please follow these directions!

Prepared by:

MABBACHUBETTS CHAPTER

AMERICAN COLLEGE OF
EMERGENCY PHYSICIANS

192 South Main Street, Suite 208 + Manifield, MA 02048-3109 + 506-339-5759

Case 4:04-cv-40247-FDS Document 11-3 Filed 05/18/2005 Page 26 of 26

- 2. Confusion, unusual drowsiness or loss of memory.
- 3. Dizziness, trouble walking or staggering gait.
- 4. Convulsions or seizures ("fits"). These are twitching or jerking movements of either the eyes, arms, legs or body.
- 5. Pupils of unequal size. The pupil is the dark center portion of the eye.
- 6. A severe headache or a headache that is worsening or persistent.
- 7. Personality changes.
- 8. Weakness or trouble with the use of arms or legs; or areas of skin numbness.
- 9. Unconsciousness or fainting.
- 10. Stiff neck or fever.
- 11. Visual disturbances including blurring of vision and double vision.
- 12. Unusual sounds in the car(s), such as ringing.
- 13. Bleeding or clear liquid drainage from the ears or nose.
- 14. Difficulty speaking or slurred speech.
- 15. Excessive sleepiness or difficulty rousing the patient from sleep.
- 16. Developing shortness of breath or difficulty breathing.
- 17. Any unusual or abnormal symptoms.

It is important that you report to your follow-up doctor for any new or remaining problems following your head injury. It is not uncommon for patients to have persistent or recurring headaches following their head injury, however, these patients and patients who have remaining difficulties should be followed either by their own physician or a follow-up doctor.

Should you have any questions or difficulties, do not hesitate to contact your own physician or return to the Emergency Department.